



Upper Occoquan Service Authority

Leader in Water Reclamation and Reuse

14631 COMPTON ROAD, CENTREVILLE, VIRGINIA 20121-2506
(703) 830-2200

July 11, 2019

TO ALL RFP RECIPIENTS:

For UOSA RFP 19-21, Arbitrage Compliance and Consulting Services

SUBJECT: Addendum #2

The above numbered solicitation is amended as set forth below. The hour and date specified for receipt of offers:

| X | is not extended;

| | is extended

OFFERORS MUST ACKNOWLEDGE receipt of this Addendum by one of the following methods:

- a. By SIGNING and RETURNING (1) copy of this Addendum with the bid or proposal;
- b. By acknowledgement of this Addendum on Transmittal Form submitted with the proposal;
- c. By referencing its receipt in your Transmittal Letter

If by virtue of this Addendum if you desire to change a proposal already submitted, such change may be made by letter, provided it includes reference to the solicitation and this Addendum and is received prior to the due hour and date specified.

DESCRIPTION OF ADDENDUM:

- 1. To provide answers to questions received by the deadline for questions. Questions and answers are provided as Attachment 1 to this Addendum.

All other Terms, Conditions, Tables, Charts and Specifications, and Drawings not otherwise changed remain as originally stated or as shown.

ISSUED BY:

Upper Occoquan Service Authority



Dustin Baker, Senior Buyer 7/11/2019
Date

ACKNOWLEDGED BY:

Company/Offeror Name

Signature of Authorized Agent Date

Printed/Typed Name

UOSA RFP 19-21 – Addendum #2 – Attachment 1

1. Will you identify by name those firms who received RFP #19-21 for Arbitrage Consulting and Compliance Services?

Arbitrage Compliance Specialists Inc.
AMTEC
Bingham
BLX Group
Causey
PFM
Avant
Hawkins Delafield
Willdan
BNY Mellon

2. Please specifically identify the seven outstanding issues requiring the arbitrage rebate calculation.

Correction, there are currently six outstanding issues.

1995A	\$ 288,600,000	Regional Sewerage System Bonds
2010B	\$ 79,975,000	Regional Sewerage System Bonds
2013B	\$ 37,735,000	Regional Sewerage Refunding Bonds
2014	\$ 163,885,000	Regional Sewerage Refunding Bonds
2016A	\$ 20,915,000	Regional Sewerage System Bonds
2016B	\$ 41,030,000	Regional Sewerage Refunding Bonds

3. Does the Series 1995A require the arbitrage rebate compliance?

Yes

4. On what date was the most recent annual update completed on each of the seven outstanding issues?

The most recent Arbitrage Rebate Calculations were performed as of July 1, 2018 (report date of July 26, 2018)

5. Which, if any, of following post-issuance compliance items have been provided by the incumbent contractor to UOSA:

- Post-issuance compliance checklists
- Written procedures and policies
- Training of UOSA employees in arbitrage rebate matters (including onsite visits)

Post-Issuance Compliance Policy and Procedure Manual

6. Has the incumbent contractor changed their contracted pricing at any time during the contract period covering 2014 - 2019?

It is UOSA's policy not to release pricing information for active solicitations.

7. Section 3.6 of RFP #19-21 "Evaluation Process" states, "A contract will be awarded to Offeror whose Proposal achieves the highest number of points". However, the seventh paragraph of this same section, and Section 3.14, states that a contract will be awarded to the offeror whose proposal is determined to be the most advantageous to UOSA. Which is correct? Will a contract be awarded based upon the achievement of points or will the award be a "most advantageous" decision?

The contract will be awarded to the offeror whose proposal is most advantageous to UOSA.

8. Is pricing required for the minimum contract period of twelve months or for each of the three base years and two additional 1-year option periods?

Pricing should be provided for all years of the base contract.

9. Who is the UOSA's current provider?

Arbitrage Compliance Specialists Inc.

10. What are the current consultant's fees for a calculation or annual budget?

It is UOSA's policy not to release pricing or budget information for active solicitations.

11. Could a list of the bond issues subject to arbitrage rebate computations be provided along with the issue dates and last computation dates?

See questions 2 and 4